U.S. Departn	S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		·		
					M-11443 U	JS	09/944,73	32		
THE STATE OF THE S	QRMATIC	ON DISCLOSURE S	TATEMENT B	Y APPLICANT	Applicants					
101, 5	3	(Use several sheet	ts if necessary)		Smith, Lee John; Zoba, David Albert					
OCT 0 5 20	m = 1				Filing Date Grou			<del></del>		
\@						·····	<del> </del>			
TRADEN	ARIE		U.S.	Patent Documents						
*Examiner		Document					Filing I			
Initial	<del>                                     </del>	Number	Date	Name	Class	Subclass	If Appro	priate		
9	AA	6,005,778	12/21/99	Spielberger, et al.	361	770	ļ	/_		
	AB	5,229,647	7/20/93	Gnadinger	257	785	ļ			
	AC	3,851,221	11/26/74	Beaulieu, et al.	317	100				
	AD	4,730,232	3/8/88	Lindberg	361	381				
	AE	4,763,188	8/9/88	Johnson	357	74		!		
	AF	4,982,265	1/1/91	Watanabe, et al.	357	75				
	AG	5,025,306	6/18/91	Johnson, et al.	357	75	T 7			
	AH	5,040,052	8/13/91	McDavid	357	80				
	AI	5,140,404	8/18/92	Fogal, et al.	357	70				
	AJ	5,291,061	3/1/94	Ball	257	686	<del>                                     </del>			
19	AK	5,323,060	6/21/94	Fogal, et al	257	777				
	•		Foreign	n Patent Documents	<u></u>		. <u> </u>			
	-				<del> </del>		Tran	slation		
		Document	Date	Country	Class	Subclass	Yes	Ng/		
6	AL	62-12661 Eng. Abs.	6/8/87	Japan	HOIL	25/04	<del>                                     </del>			
1	AM	4-56262 Eng. Abs.	2/24/92	Japan	HOIL	25/65	<del>                                     </del>	1		
	AN	63-244654 Eng.	10/12/88	Japan	H01L	23/28	<del>                                     </del>	<del> </del>		
	AO	Abs. 5136323 Eng. Abs.	6/1/93	Japan	H01L	23/50	<del>  /                                   </del>	+		
0	AP	5109975 Eng. Abs.	4/30/93	Japan	HOIL	25/065		<del>                                     </del>		
	<u></u>	OTHER A	RT (Including A	Author, Title, Date, Perti			.1			
	AQ			, , , , , , , , , , , , , , , , , , , ,		<del>,</del>				
 	`						•			
	AR				<del> </del>					
	AS									
Evanina	In	L	Date Co. 11							
Examiner *EXAMINE	<u></u>		Date Considere		13.50	CD (00 D				
citation if not	in conform	nance and not considere	eu, wneiner or n dered. Include o	ot citation is in conformation of this form with yo	ance with MPI our communic	tP 609; Draw lation to applies	ine through	ļ		

U.S. Depa	J.S. Department of Commerce, Fatent and Trademark Office						Atty Docket No.		Serial No.	
							M-11443 US 09/944,732			
l	_	MATIO	N DISCLOSURE	STATEMENT B	Y APPLICANT	Applicants				
101F	E	\	(Use several shee	ets if necessary)	<del></del>	Smith, Lee John; Zoba, David Albert				
7		67				Filing Date	3	Group		
OCT O	5 2001	G.								
PEN	. 4	<b>\$</b>		U.S. 1	Patent Documents		<u>,                                    </u>			
*Examilia Initial	DEMA		Document Number	Date	Name	Class	Subclass	Filing D If Approp		
( <del>f</del> )	<b>)</b>	BA	5,432,729	7/11/95	Carson, et al	365	63			
Ĭ		BB	5,682,062	10/28/97	Gaul	257	686			
ľ		ВС	5,721,452	2/24/98	Fogal, et al.	257	685			
		BD	5,739,581	4/14/98	Chillara, et al.	257	668	7		
		BE	5,815,372	9/29/98	Gallas	361	760	200		
		BF	5,872,025	2/16/99	Cronin, et al.	438	109	UL29 23		
		BG	5,886,412	3/23/99	Fogal, et al.	257	777	37 73	717	
		вн	Re. 36,613	3/14/00	Ball	257	777	75	<del></del>	
		BI	6,051,886	4/18/00	Fogal, et al.	257	777	R0014		
		ВЈ	6,057,598	5/2/00	Payne, et al.	257	723			
(4	+	BK	5,861,666	1/19/99	Bellaar	257	686			
				Foreign	Patent Documents			•		
								Trans	lation	
			Document	Date	Country	Class	Subclass	Yes	No	
$ $ $\epsilon$	<del>}</del>	BL	61117858 Eng. Abs.	6/5/86	Japan	HOIL	25/08			
		BM	4028260 Eng. Abs.	1/30/92	Japan	HO1L	25/065			
		BN	4116859 Eng. Abs.	4/17/92	Japan	HOIL	25/065			
		ВО	4096358 Eng. Abs.	3/27/92	Japan	HOIL	25/065			
(A	-	BP	5013665 Eng.	1/22/93	Japan	HOIL	25/065			
			OTHER A	ART (Including A	uthor, Title, Date, Pertin	ent Pages, Et		<u>.                                    </u>	L	
		BQ		<u>`</u>						
		BR				<del></del>				
		BS					<del></del>	<del></del>		
Examiner		m	$\sim$	Date Considere	d 2-9-4					
*EXAMIN citation if	NER: not in	Initial if	f reference consider	red, whether or no	ot citation is in conformation opy of this form with you	nce with MPI	EP 609; Draw I	ine through		

U.S. Departm	ent of Co	mmerce, Patent and	Trademark Offic	се	Atty Docket No. Serial No.					
					M-11443 US 09/944,732					
INFO	RMATIC	ON DISCLOSURE	STATEMENT B	Y APPLICANT	Applicants					
OVP	E	(Use several shee	ets if necessary)		Smith, Lee John; Zoba, David Albert					
						Filing Date Group				
OCT O	5 2001 E									
*Examiner	- CHART		U.S.	Patent Documents	- <del></del>	······································		<u> </u>		
*Examiner** Initial	UE	Document	Dut		GI.	6.1.1	Filing I			
Initial ©	CA	Number 5,165,067	Date 11/17/92	Name Wakefield, et al.	Class 257	Subclass 783	If Approp	priate		
<del>- 1</del>	СВ	5,614,766	3/25/97	Takasu, et al.	257	777	<del> </del>			
	CC	5,587,341	12/24/96	Masayuki, et al.	437	206				
	CD	5,473,196	12/5/95	De Givry	257	786	<del> </del>			
<del></del>	CE	5,426,563	6/20/95	Moresco, et al.	361	689	<del> -</del> :			
	CF	5,502,289	3/26/96	Takiar, et al.	174	266	10 20	- +		
	CG	5,422,435	6/6/95	Takiar, et al.	174	52.4	5 5	•		
	СН	5,334,875	8/2/94	Sugano, et al.	257	686	13, 60			
	CI	5,198,888	3/30/93	Sugano, et al.	257	686	F. 12			
•	CI	5,793,108	8/11/98	Nakahishi, et al.	257	723	1000			
9	CK	5,783,870	7/21/98	Mostafazadeh, et al.	257	723	<u> </u>			
		3,703,070	<u> </u>	n Patent Documents		771				
	·		- Totelgi	at I atem Botuments			Tran	slation		
		Document	Date	Country	Class	Subclass	Yes	No		
Ø	CL	4-368154 A	12/21/92	Japan	HOIL	23/00	1 65	$\frac{1}{x}$		
4	CM	54-128274		<del></del>			<del></del>	<del>↓</del> —		
		w/Eng. Abs.	4/10/79	Japan	HOIL	23/30		X		
	CN	w/Eng. Abs.	1/31/89	Japan	HOIL	27/00		X		
	СО	1099248 A w/Eng. Abs.	4/18/89	Japan	HOIL	25/08	X			
<u> </u>	СР	60182731 w/Eng. Abs.	9/18/85	Japan	H01L	21/60		X		
			ART (Including A	Author, Title, Date, Pertin	nent Pages, Et	c.)	n-t			
	CQ									
	CR									
	CS					·				
Examiner	the	\ <u>\</u>	Date Considere	ed 2-9-5	+		· · · · · · · · · · · · · · · · · · ·			
*EXAMINER citation if not	: Initial i in confor	f reference consider mance and not cons	red, whether or naidered. Include o	ot citation is in conformation of this form with yo	once with MPI our communic	EP 609; Draw ation to applic	line through ant.			

U.S. Departm	ent of Cor	mmerce, Patent and	Trademark Offic	е	Atty Docke	t No.	Serial No	١.	
					M-11443 US 09/944,732				
INFO	RMATIO	N DISCLOSURE S	TATEMENT B'	Y APPLICANT	Applicants				
611	E	(Use several shee	ts if necessary)		Smith, Lee John; Zoba, David-Albert				
1	2	\			Filing Date		Group		
OCT O	5 2001 5		<del>- , - , <u>- , - , , , - , , , , , , , , ,</u></del>						
VEN.	1848		U.S. I	Patent Documents	<del></del>	· · · · · · · · · · · · · · · · · · ·	·		
*Examiner	DEMAR	Document	_				Filing I		
Initial	DA	Number	Date 10/26/00	Name Wark	Class	Subclass 777	If Appro	priate	
<u> </u>		5,973,403	10/26/99	<del> </del>	257				
	DB	5,917,242	6/29/99	Ball	257	737			
	DC	5,696,031	12/9/97	Wark	437	209			
	DD	5,637,912	6/10/97	Cockerill, et al.	257	620		-1	
	DE	5,637,536	6/10/97	Val	438	686	38/29		
	DF	5,581,498	12/3/96	Ludwig, et al.	365	63	22	<u> </u>	
	DG	5,514,907	5/7/96	Moshayedi	257	723	12 1	1 1	
<u>-</u>	DH	5,495,398	2/27/96	Takiar, et al.	361	790	7		
	DI	5,495,394	2/27/96	Kornfeld, et al.	361	764	NO OT		
	DJ	5,463,253	10/31/95	Waki, et al.	257	724			
. 9	DK	5,012,323	4/30/91	Farnworth	357	75			
			Foreign	Patent Documents					
							Tran	ıslatio	
		Document	Date	Country	Class	Subclass	Yes	No	
F	DL	56062351 w/Eng. Abs.	- 5/28/81	Japan	HOIL	25/04		X	
1	DM	3169062 w/Eng. Abs.	7/23/91	Japan	HOIL	25/065		X	
	DN	10-256470	9/25/98	Japan	HOIL	25/065	X	+	
	DO	1071162 A Eng.	3/16/89	Japan	HOIL	23/52		$\frac{1}{x}$	
0	DP	5-75015 (A) Eng. Abs Eng. Abs	3/26/93	Japan	HOIL	25/065		x	
	<del></del>		RT (Including A	uthor, Title, Date, Pert	inent Pages, Etc	c.)		Т—	
_	DQ		<u> </u>			<u> </u>			
	`	Ì							
	DR			<del></del>		···			
<u> </u>	DS								
Examiner Examiner	1 90	WA-	Date Considere	d 2-9-4					
*EXAMINER	R: Initial i	f reference consider	ed, whether or no	ot citation is in conform opy of this form with y	ance with MPI	EP 609; Draw lation to applica	ine through	<u></u>	

			Atty Docket No. Serial No.				
		M-11443 US 09/944,732					
ON DISCLOSURE S	TATEMENT B	Y APPLICANT	Applicants				
(Use several sheet	s if necessary)		Smith, Lee John; Zoba, David Albert				
OCT 0 5 2001 (4)					Group		
						-	
	U.S. F	Patent Documents	<del></del>				
Document		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		0.1.1	Filing D		
<del></del>		<del></del>			II Approj	priate	
<del>                                     </del>		<del> </del>	<del></del>				
<del></del>		<del> </del>					
ļ		<del> </del>	<del></del>				
<del></del>	<del></del>	<u> </u>		······			
- <del></del>		<del></del>					
<del></del>	<del></del>	<del> </del>	<del></del>			•	
<del></del>		<del></del>		<del>_</del>		<u>)</u>	
<del></del>		<del> </del>			28		
<del></del>	<del></del>				The second	· . \	
	<del></del>	<del> </del>			7		
5,885,849		<u>-</u>	438	108	lo:		
	Foreign	Patent Documents		<del></del>		_	
		· <del> </del>			Tran	slation	
Document	Date	Country	Class	Subclass	Yes	No	
4-368167 w/Eng. Abs.	12/21/92	Japan	H01L	25/065		X	
5-283601	10/29/93	Japan	HOLL	23/52		х	
503 201 A2	12/20/91	EPO	HOIL	23/495		1	
64001269 Eng. Abs.	1/5/89	Japan	HOIL	25/04		1 -	
						- <del> </del>	
OTHER A	RT (Including A	uthor Title Date Pertin	ent Pages Et	·c )	L	ــــــــــــــــــــــــــــــــــــــ	
	(minima r				<del></del>	· · · · ·	
+	<u></u>					·	
<del>                                     </del>	<del></del>						
	Date Considera	od 2 9-4			- · · · · · · · · · · · · · · · · · · ·		
	<del></del>			ED 600. Den. 1	ing through		
rmance and not consi	dered. Include of	copy of this form with you	ur communic	ation to applica	nt.		
	Document   Number   4,996,587   4,567,643   5,793,108   5,689,135   5,138,438   5,347,429   6,080,264   5,422,435   5,569,625   5,798,014   5,885,849   Document   4-368167 w/Eng. Abs.   5-283601   503 201 A2   64001269 Eng. Abs.   OTHER A	U.S. R    Document   Number   Date	U.S. Patent Documents    Document   Number   Date   Name	U.S. Patent Documents	U.S. Patent Documents	U.S. Patent Documents	

U.S. Departme	nt of Co	mmerce, Patent and	e	Atty Docket No.		Serial No.				
				M-11443 US 09/944,732						
INFO	RMATIO	N DISCLOSURE S	STATEMENT B	Y APPLICANT	Applicants					
OIP	E	(Use several shee	ts if necessary)		Smith, Lee John; Zoba, David Albert					
	3				Filing Date Group					
OCT 0 5	2001 y					-				
*Examine /RAD	. E.		U.S. I	Patent Documents	<del>- 1</del>					
*Examine / RAD	PMAR'S	Document					Filing D			
Initial	FA	Number 5,952,611	Date 9/14/99	Name Eng, et al.	Class 174	Subclass 52.4	If Approp	oriate		
4	FB	6,072,243	6/6/00	Nakanishi	257	783				
	<del></del>			<del> </del>	<del></del>	<del></del>				
	FC	6,184,463	2/6/01	Panchou, et al.	174	52.4	<u> </u>			
	FD	6,235,554	5/22/01	Akram, et al.	438	109				
<u> </u>	FE	6,214,641	4/10/01	Akram	438	107 2	<u> </u>			
	FF	5,715,147	2/3/98	Nagano	361	813 2				
	FG	6,133,637	10/17/00	Hikita, et al.	257	777 3	· 12	50		
	FH	6,034,427	3/7/00	Lan et al.	257	698	*			
·	FI	6,172,419	1/9/01	Kinsman	257	737	Zeu K			
	FJ	6,013,948	1/11/00	Akram et al.	257	698	ឧប់អ			
· G	FK	6,160,705	12/12/00	Stearns et al.	361	704				
			Foreign	Patent Documents						
							Tran	slation		
		Document	Date	Country	Class	Subclass	Yes	No		
G	FL	61059862 Eng. Abs	3/27/86	Japan	H01L	25/04				
	FM	62119952 Eng. Abs.	6/1/87	Japan	HOIL	25/04	<del></del> -			
	FN	62126661 Eng. Abs.	6/8/87	Japan	HOIL	25/04	<del></del>			
	FO	62142341 Eng. Abs.	6/25/87	Japan	HOIL	25/04				
A	FP	63211663 Eng. Abs.	9/2/88	Japan	H01L	25/08				
	<del> </del>	OTHER A	RT (Including A	uthor, Title, Date, Perti	inent Pages, Et	l c.)				
	FQ					··· <u>·</u>				
	FR				<del></del> _					
	FS									
Examiner	Tha	1	Date Considere	d 2-9-4	·	<del></del>	<del> </del>			
*EXAMINER:	Initial if	reference consider	ed, whether or no	ot citation is in conform	ance with MPI	EP 609; Draw li	ne through			
citation if not in	n conforn	nance and not consi	dered. Include co	opy of this form with yo	our communic	ation to applicar	nt.			

U.S. Departme	S. Department of Commerce, Patent and Trademark Office					Atty Docket No.			
					M-11443 U	JS	09/944,732	2	
		N DISCLOSURE S	TATEMENT B'	Y APPLICANT	Applicants				
OIP	E	(Use several sheet	is if necessary)		Smith, Lee John; Zoba, David Albert				
	3				Filing Date	3	Group		
OCT 0 5						····	<u></u>		
*Examilie FRAD	MARTIC		U.S. F	Patent Documents		<del></del>			
*Examilier HAD Initial		Document Number	Date	Name	Class	Subclass	Filing D		
G	GA	5,903,052	5/11/99	Chen et al.	257	706			
<del>(</del> <del>/</del>	GB	6,127,833	10/3/00	Wu et al.	324	755			
(Å	GC	5,835,355	11/10/98	Dordi	361	760			
	GD	·							
	GE						<u> </u>	7	
	GF						JUL 2		
	GG						29 0 M		
			Foreign	n Patent Documents			29 28		
		· · · · · · · · · · · · · · · · · · ·					Trans	slation	
	<u> </u>	Document	Date	Country	Class	Subclass	Fes	No	
6	GH	4056262 w/Eng. Abs.	2/24/92	Japan	H01L	25/065			
	GI	62126661 w/Eng. Abs.	6/8/87	Japan	HOIL	25/04		x	
	GJ	63128736 w/Eng. _Abs.	6/1/88	Japan	H01L	23/04		х	
	GK	63244654 w/Eng. _Abs.	10/12/88	Japan	H01L	23/28		х	
	GL.	1019990020939	6/7/99 (App. Date)	Korea	HOIL		-	х	
	GM	1019990016319	5/7/99 (App. Date)	Korea	H01L			х	
	GN	1019990037925	9/7/99 (App. Date)	Korea				х	
G	GO	1019990037928	9/7/99 (App. Date)	Korea				х	
	<del></del>	OTHER A	RT (Including A	Author, Title, Date, Pertine	ent Pages, Et	ic.)			
	GP								
	GQ								
Examiner	In.	M	Date Considere	ed 2-9-4					
*EXAMINER: citation if not in	Initial is n confort	f reference considered mance and not consi	ed, whether or no dered. Include o	ot citation is in conformate copy of this form with you	nce with MP. ar communic	EP 609; Draw lation to applica	ine through int.	:	

Approved for use through 10/31/2002, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction nothersons are required to respond to a collection of information unless it contains a valid OMB control number. Application Number 09/944,732 Substitute for form 1449A/∦TO Filing Date 8/31/2001 INFORMATION DESCLOSU First Named Inventor: SMITH, Lee John STATEMENT BY APPLICE Examiner: David E. Graybill **GROUP: 2827** (use as many sheets as necessary) Sheet of Attorney Docket Number AMK-11443 U.S. PUBLISHED PATENT APPLICATIONS U.S. Patent Document Examiner Cited Name of Patentee or Applicant of Date of Publication Pages, Columns, Lines Initials\* Kind Code 2 Number Cited Document of Cited Document Where Relevant Info. (if known) MM-DD-YYYY Appear U.S. PATENT DOCUMENTS Examiner Cited U.S. Patent Document Name of Patentee or Applicant of Date of Publication Pages, Columns, Lines Kind Code 2 Initials\* No. 1 Number Cited Document of Cited Document Where Relevant Info. MM-DD-YYYY (if known) Appear (9 6,180,881 **B1** Isaak 1/30/2001 0 6,452,278 **B**1 DiCaprio et al. 9/17/2002 FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Pages, Columns, Publication of Lines Where Kind Code 5 Tو Examiner Cited Name of Patentee or Applicant Cited Document Relevant Info. Initials\* No. 1 Office3 Number4 (if known) of Cited Document MM-DD-YYYY Appear (◊ KR 99-35108 Jun et al. 8/24/1999 14 KR 99-65926 Shin et al. 12/30/1999 KR 99-65934 Shin et al. 12/30/1999 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) U.S. Patent Application No. 09/648,284 filed 8/24/2000 **EXAMINER DATE CONSIDERED** 

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Action of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO NOV 2 4 2003 Application Number 09/944,732

Filing Date 8/31/2001

INFORMATION DISCLOSURE Filing Date 8/31/2001

First Named Inventor: SMITH, Lee John

(use as many sheets as necessary)

Examiner: David E. Graybill GROUP: 2827

Sheet of Attorney Docket Number AMK-11443 U.S. PATENT DOCUMENTS Examiner Cited Name of Patentee or Applicant of Date of Publication Pages, Columns, Lines U.S. Patent Document Where Relevant Info. Initials\* No. 1 Kind Code 2 Cited Document Number of Cited Document (if known) MM-DD-YYYY Appear A01 5,273,938 Lin et al. 12/28/93 A02 5,474,957 Urushima 12/12/95 A03 5,474,958 Djennas et al. 12/12/95 A04 5,545,922 Golwalkar et al. 8/13/96 A05 5,604,376 Hamburgen et al. 2/18/97 A06 5,620,928 Lee et al. 4/15/97 A07 Degani et al. 5,646,828 7/8/97 A08 5,668,405 Yamashita 9/16/97 A09 5,696,666 Miles et al. 12/9/97 A10 5,763,939 Yamashita 6/9/98 All 5,777,387 Yamashita et al. 7/7/98 A12 Wakefield 5,819,398 10/13/98 A13 5,866,949 Schueller 2/2/99 A14 5,894,108 Mostafazadeh et al. 4/13/99 A15 5,977,640 Bertin et al. 11/2/99 A16 5,986,317 Wiese 11/16/99 A17 6,001,671 **Fielstad** 12/14/99 6,060,778 A18 Jeong et al. 5/9/00 A19 6,100,804 Brady et al. 8/8/00 A20 6,107,689 Kozono 8/22/00 A21 6,118,184 Ishio et al. 9/12/00 A22 6,198,171 3/6/01 Huang et al. **EXAMINER** DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Agtion of 1995 s are required to respond to a collection of information unless it contains a valid OMB control number. Application Number 09/944,732 NOV 2 4 2003 Substitute for form 1449A/PTO Filing Date 8/31/2001 INFORMATION DISCLOS First Named Inventor: SMITH, Lee John STATEMENT BY APPLIC Examiner: David E. Graybill **GROUP: 2827** (use as many sheets as necessary) 2 of Sheet 2 **Attorney Docket Number AMK-11443** U.S. PATENT DOCUMENTS Examiner Cited U.S. Patent Document Name of Patentee or Applicant of Date of Publication Pages, Columns, Lines Kind Code 2 Initials\* No. 1 Cited Document Number of Cited Document Where Relevant Info. (if known) MM-DD-YYYY Appear A23 В1 6,395,578 Shin et al. 5/28/02 A24 6,399,418 **B**1 Glenn et al. 6/4/02 12/31/02 A25 6,501,184 **B**1 Shin et al. A26 6,515,356 Вı Shin et al. 2/4/03 A27 B2 6,541,854 Huang et al. 4/1/03

DATE CONSIDERED

**EXAMINER** 

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.